

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mo et al.  
Serial No.: 09/588,632  
Filing Date: June 6, 2000  
Group Art Unit: 2731  
Title: SYSTEM AND METHOD FOR  
CONNECTIONLESS/CONNECTION ORIENTED  
SIGNAL TRANSPORT

**RECEIVED**

APR 06 2004

Technology Center 2600

Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

*Willie Jiles*

Name

*3-26-02*

Date of Signature

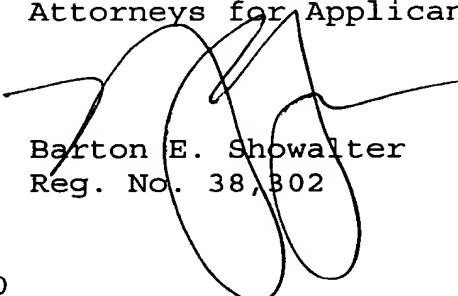
**INFORMATION DISCLOSURE STATEMENT**

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the reference listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

Pursuant to 37 C.F.R. § 1.97(b), Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a first action. Therefore, Applicants believe that no fee is due. However, if a fee is required,

the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicants



Barton E. Showalter  
Reg. No. 38,302

2001 Ross Avenue  
Dallas, Texas 75201-2980  
(214) 953-6509

Date: March 26, 2002

CORRESPONDENCE ADDRESS:

Baker Botts L.L.P.  
2001 Ross Avenue, Suite 600  
Dallas, TX 75201-2980  
EL 953669437 US

EL953669437US



The "Received" stamp of the Patent and Trademark Office imprinted hereon acknowledges the filing of:

Total Pages		Total Pages	
<input type="checkbox"/> New Appln.; C.P.A.; Con.; CIP.; Div.; Provisional; Converted Provisional (Specification, Claims, Abstract)	_____	<input type="checkbox"/> ___ Mo. Extension of Time	_____
<input type="checkbox"/> Bibliographic Data Sheet	_____	<input type="checkbox"/> Notice of Appeal	_____
<input type="checkbox"/> Declaration/power of Attorney	_____	<input type="checkbox"/> Appeal Brief	_____
<input type="checkbox"/> Assignment	_____	<input type="checkbox"/> Issue Fee Transmittal	_____
<input type="checkbox"/> Verified Statement	_____	<input type="checkbox"/> Check No. _____ Amt.: _____	
<input type="checkbox"/> Formal Drawing	_____	<input type="checkbox"/> Check No. _____ Amt.: _____	
<input type="checkbox"/> Informal Drawings	_____	<input checked="" type="checkbox"/> Serial No. <u>09/588,632</u>	
<input type="checkbox"/> Amendment/Response	_____	<input checked="" type="checkbox"/> Other <u>IDS with references</u>	

Name of Inventor(s): <u>Mo et al</u>		Receipt Date & Serial No: 
Title of Invention: <u>S+M For Connectionless/Connection Oriented...</u>		
Client or Applicant: <u>Fujitsu</u>	BB File No.: <u>064731.0145</u>	
Mailed: <u>3-26-02</u>	Certificate of Mailing Express Mail <input checked="" type="checkbox"/> Receipt No.: <u>EL953669437US</u>	
Due:	Certificate Of Mailing	
Atty/Secy: <u>BES/JC/MAS</u>	First Class Mail <input type="checkbox"/>	
	Hand Delivered <input type="checkbox"/>	

RECEIVED

APR 06 2004

Technology Center 2600

RECEIVED

APR 02 2002

PTO-1449

Application No.

09/588,632

Applicant(s)

Mo et al.

**Information Disclosure Citation  
In an Application**

Docket Number

064731.0145

Group Art Unit

2756

Filing Date

June 6, 2000

APR 05 2004

## U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A	5,461,624	10/24/95	Mazzola	370	85.13	08/11/94
B	5,229,990	07/20/93	Teraslinna	370	60	10/03/90
C						
D						
E						
F						
G						
H						
I						
J						
K						
L						

RECEIVED

APR 06 2004

Technology Center 2600

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
M	WO 00/24164	04/27/00	PCT	H04L 12/56		X	
N	WO 97/40610	10/30/97	PCT	H04L 29/06		X	
O	WO 00/21254	04/13/00	PCT	H04L 12/56		X	
P							
Q							

## DOCUMENT (Including Author, Title, Source, and Pertinent Pages)

DATE

R	PCT International Search Report No. PCT/US01/13724, dated November 30, 2001, 6 pages.	11/30/00
S	Birman A et al.: "Support for RSVP-Based Services Over ATM Networks," Communications: The Key to Global Prosperity. Globecom 1996. Global Internet 96 Conference Record. London, Nov. 18-22, 1996, Global Telecommunications Conference (Globecom, New York, IEEE, US, vol. SUPP, 18, Nov. 1996 pages 10-15, XP000741665 ISBN: 0-7803-3337-3	11/18/96
T	Sharma et al.: "Scalable timers for soft state protocols," Infocom '97. Sixteenth Annual Joint Conference of the IEEE Computer and Communications Societies. Driving the Information Revolution., Proceedings IEEE Kobe, Japan 7-11 April 1997, Los Alamitos, CA USA, IEEE Comput. Soc, US 7 April 1997, pgs. 222-229, XP010252006, ISBN: 0-8186-7780-5	04/07/97

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE

DAL01:662042.1

PTO-1449

Application No.

09/588,632

Applicant(s)

Mo et al.

Docket Number

064731.0145

Group Art Unit

2756

Filing Date

June 6, 2000

**Information Disclosure Citation**  
**in an Application**

APR 05 2004

## U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A						
B						
C						
D						
E						
F						
G						
H						
I						
J						
K						
L						

RECEIVED

APR 06 2004

Technology Center 2600

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
M							
N							
O							
P							
Q							

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
R	International Search Report in International Application. No. PCT/US01/14626, dated Feb. 5 2002, 7 pages.	02/05/02
S	International Search Report in International Application No. PCT/US01/14622, dated February 14, 2002, 7 pages.	
T	Pancha P: "ATM Switch Routers for Combined Connection-Oriented and Connectionless Transport," ISS '97. World Telecommunications Congress. (Int'l Switching Symposium). Global Network Evolution: Convergence or Collision? Toronto, Sept. 21-26, 1997, ISS. World Telecommunications Congress. (International Switching Symposium)	09/21-26/97

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE

DAL01:662042.1

PTO-1449		Application No. 09/588,632		Applicant(s) Mo et al.	
<b>Information Disclosure Citation In an Application</b>		Docket Number 064731.0145		Group Art Unit 2756	
				Filing Date June 6, 2000	

O I P E  
 APR 05 2004  
 PATENT & TRADEMARK OFFICE

**U.S. PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A						
B						
C						
D						
E						
F						
G						
H						
I						
J						
K						
L						

**RECEIVED**  
 APR 06 2004  
 Technology Center 2600

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
M							
N							
O							
P							
Q							

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
R	Mysore J. P. et al.: "Performance of transport protocols over a multicasting-based architecture for Internet host mobility" Communications, 1998. ICC 98. Conference Record. 1998 IEEE International Conference on Atlanta, GA, USA 7-11 June 1998, New York, NY USA, IEEE, US, 7 June 1998, pages 1817-1823, XP010284635, ISBN: 0-7803-4788-9	06/7-11/98
S	Bar-Noy A. et al.: "Topology Distribution Cost Vs. Efficient Routing in Large Networks," Computer Communications Review, Association for Computing Machinery, New York, US, vol. 20, no. 4, Sept. 1, 1990, pages 242-252, XP000168058; ISSN: 0146-4833, whole document.	09/01/90
T	International Search Report in International Application No. PCT/US01/13694, dated Mar. 12, 2002, 7/pgs.	03/12/02

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE

PTO-1449

Application No.

09/588,632

Applicant(s)

Mo et al.

Docket Number

064731.0145

Group Art Unit

2756

Filing Date

June 6, 2000

**Information Disclosure Citation  
in an Application**

APR 05 2004

**U.S. PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A						
B						
C						
D						
E						
F						
G						
H						
I						
J						
K						
L						

**RECEIVED**

APR 06 2004

Technology Center 2600

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
M							
N							
O							
P							
Q							

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
R	Fritz J. "Bullet Proofing ATM: Part I," Byte, McGraw-Hill Inc. St. Peterborough, US, vol. 22, no. 6, 1 June 1997, pages 59-60, XP000691556, ISSN: 0360-5280, page 60.	06/01/97
S	Sun H. et al.: "Supporting IP on the ATM networks: an overview," Computer Communications, Elsevier Science Publishers BV, Amsterdam, NL, vol. 21, no. 11, 10 August 1998, pages 1020-1029, XP004138773, ISSN: 0140-3664, whole document.	08/10/98
T		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE